

# The State of Automated Data Logging for AAC

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## Acknowledgements

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# Acknowledgements

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- Heidi Koester – Business PI
- Greg Leshner – Business PI
- Terry Welch – Research Associate

# New Tech!

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## HOW DO WE DEAL WITH NEW TECHNOLOGY?

## HOW DO WE EVALUATE NEW TECHNOLOGY?



## Focus of Our Presentation

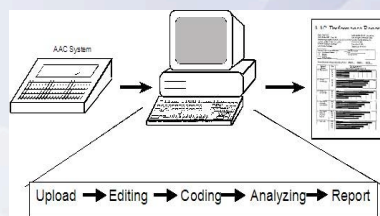
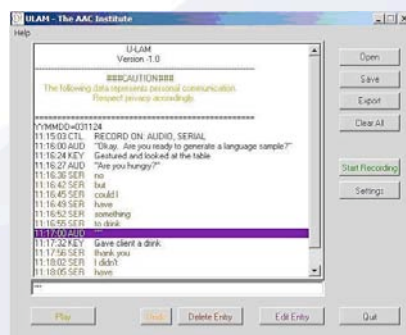
(Focus is on Practitioner Use)

- Look at the Current State of Automated Data Logging (ADL)
- Discuss Use and Usability Specifications for practitioner use of ADL (Focus Group).
- Examine issues related to Validity related to practitioner use of ADL
- Discuss the Cost Effectiveness of ADL
- Discuss Privacy and Legal Issues Related to practitioner use of ADL

# What Is ADL?

- Automated Data Logging (ADL) involves the automated collection and analysis of AAC device input / output.
- What is typically recorded:
  - time
  - selection input
  - message output
  - page
  - context
  - action
  - list of vocabulary alternates (e.g., word prediction list)

## Language A Monitor (LAM)



AAC Performance Report	
Subject Number: 123	LAM data file: 010021_1_in_anon
DOB: 2 May 1977 (Age 23)	Date of Report: 10-Mar-01
Language Representation Methods (check all available):	Location: Webster, OH
<input checked="" type="checkbox"/> SAMP <input checked="" type="checkbox"/> SEM <input checked="" type="checkbox"/> X <input checked="" type="checkbox"/> WPR <input checked="" type="checkbox"/> X <input checked="" type="checkbox"/> WPC <input checked="" type="checkbox"/> X <input checked="" type="checkbox"/> QWS*	Examiner: B. Rumsch
Selection technique: Keyboard	Transcriber: K. 100
AAC System: Unity 128 (12 mo.) on Pathfinder (5 mo.)	Sample time: 57 minutes
Number of selections per letter in spelling: 1	
Number of total array selections when spelling: 128	
Language Sample Content (check):	
<input checked="" type="checkbox"/> Conversation (if Partners)	<input type="checkbox"/> Natural Environment
<input checked="" type="checkbox"/> Interview	<input type="checkbox"/> Other:
<input type="checkbox"/> Narrative	
<input type="checkbox"/> Picture description	* Conducted remotely via AOL Instant Messenger
Section 1: Utterance-Based Summary Measures	
A. Total utterances	27
B. Complete utterances (%)	100%
C. Method of Generating Utterances (SASUG %)	100%
D. Mean Length of Utterance in Words (MLU-w)	16.48
E. Mean Length of Utterance in Morphemes (MLU-m)	10.30
F. Average Communication Rate (words / minute)	11.75
G. Peak Communication Rate (words / minute)	14.07
everything is represented by myself and I'm actually my Unity teacher because I taught myself by playing around with it	
Section 2: Word-Based Summary Measures	
H. Total Number of Words	440
I. Different Word Roots	125
J. Core Vocabulary Use (%)	85%



## (Leshar, Moulton, Rinkus, Higginbotham, 1998 – 2006). Universal Logfile Format, Augmentative Communication Quantitative Analysis (ACQUA) program

Logfile Name	Window	Timestamp	DS_DURATION	DS_WORDS_PER_MINUTE	Net Text
S-C4_1.log	-1	08:08:12:46:41.3	1792.5	1.94142	No. It is more easy. Yes. No.
S-C4_1.log	0	08:08:12:46:41.3	0	-1	No.
S-C4_1.log	1	08:08:12:46:42.5	1.2	50	IT
S-C4_1.log	2	08:08:12:47:01.5	19	3.15789	
S-C4_1.log	3	08:08:12:47:04.5	3	20	
S-C4_1.log	4	08:08:12:47:09.0	4.5	0	
S-C4_1.log	5	08:08:12:47:13.1	4.1	0	
S-C4_1.log	6	08:08:12:47:14.9	1.8	33.3333	
S-C4_1.log	7	08:08:12:47:16.7	1.8	33.3333	
S-C4_1.log	8	08:08:12:47:20.4	3.7	16.2162	
S-C4_1.log	9	08:08:12:47:23.6	3.2	18.75	
S-C4_1.log	10	08:08:12:47:27.6	4	11	
S-C4_1.log	11	08:08:12:47:30.7	3.1	19.3548	
S-C4_1.log	12	08:08:12:47:33.5	2.8	0	
S-C4_1.log	13	08:08:12:47:36.6	23.1	0	
S-C4_1.log	14	08:08:12:47:38.8	1.2	27.2727	
S-C4_1.log	15	08:08:12:48:07.5	8.7	0	
S-C4_1.log	16	08:08:12:48:10.6	3.7	35.2941	

Figure 4: An output from an ACQUA analysis.

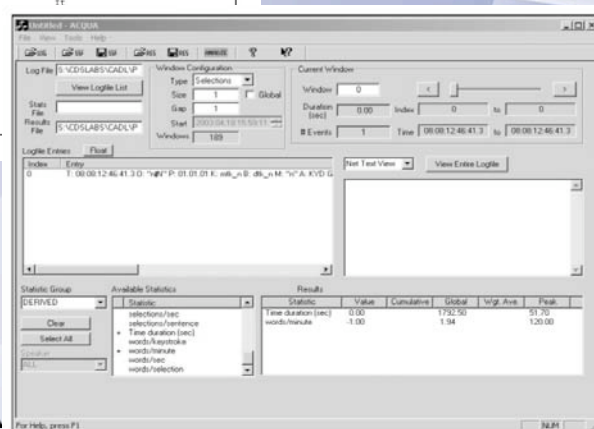
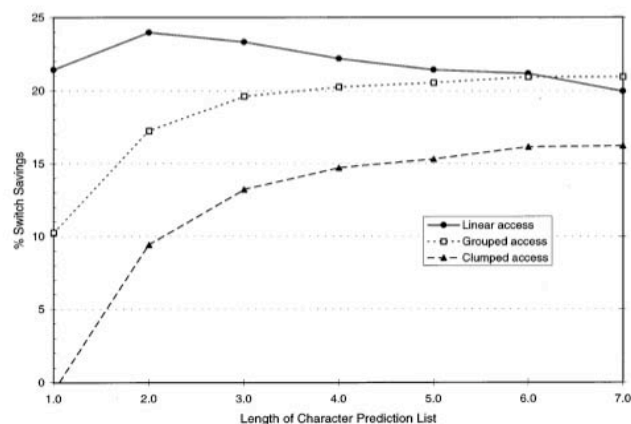


Figure 3: An example of the ACQUA program produced by Enkidu Research, Inc.

## Historical Perspective

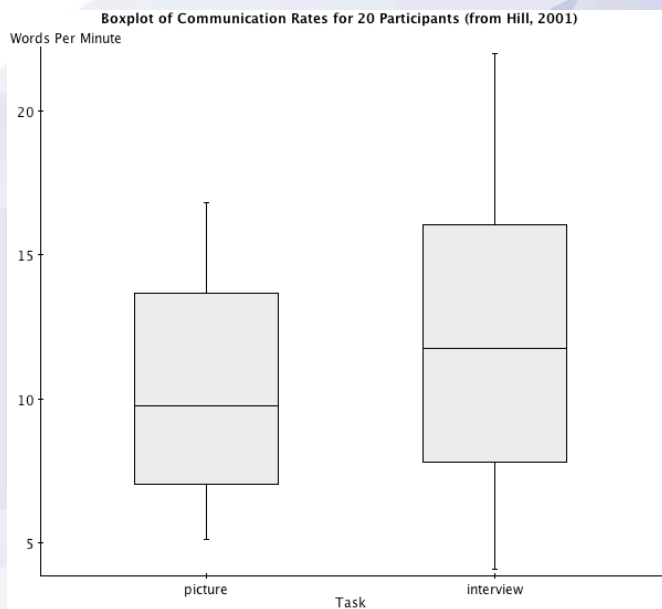
- Higginbotham used ADL (1985) to track communication device performance for his dissertation work.
- Swiffen, Arnott, Pickering, Newell (1987) used logs to track word prediction system performance.
- Koester and Levine (e.g., 1997, 1998) employed computer-assisted logging and analysis to study users' performance on word prediction and AAC access.
- Ronski & Sevcik experimented with automated data collection techniques for language intervention research (Ronski, 2001).
- Higginbotham and colleagues used computer-assisted logging and analysis to study the effect of augmentative technologies on interactive communication (Higginbotham, 1989; Scally, 1994; Higginbotham, Kim, Scally, Huang, submitted).
- Leshar, Moulton and Higginbotham's (1998) simulation research employed a logfile format and automated analyses to evaluate the efficiency of a variety of augmentative selection methods.
- Katya Hill's (2001) dissertation research focused on the efficacy of using automated data logging for the collection and analysis of language samples.

**Lesh, Moulton & Higginbotham (1998). Techniques for Augmenting Scanning Communication, AAC, 14, 81 - 101.**



**Figure 5.** Switch savings as a function of character list size for the three different access methods using kgram character prediction. Data are averaged across the seven testing texts. Trends for individual testing texts are very similar to the trends of the averaged data.

## Hill (2001)





**Smith, L., Higginbotham, D.J., Lesher, G. & Mathy, P. (2006).**  
**The development of an automated method for analyzing**  
**communication rate in augmentative and alternative**  
**communication.**  
*Assistive Technology, 18, 107 - 121.*

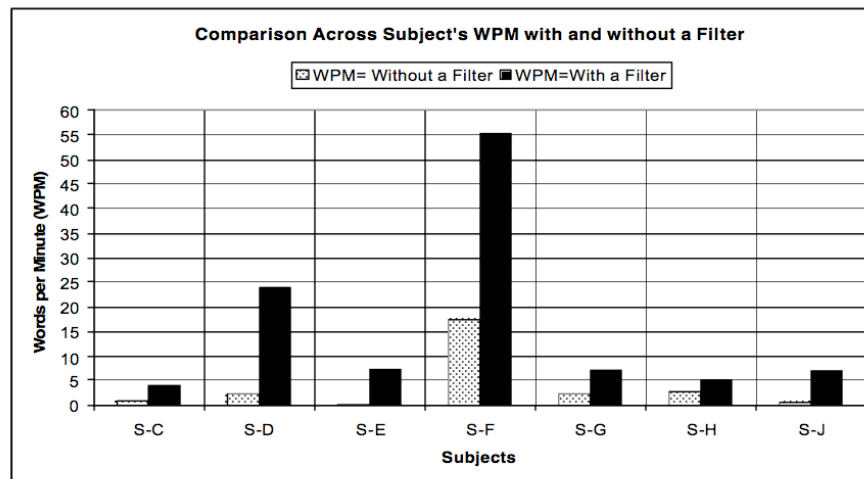


Figure 16: Subject comparison of WPM with and without filtering.

**Higginbotham, Kim & Scally (2007).** The Effect of the  
 Communication Output Method on Augmented Interaction, *AAC*,  
 23, 140 - 153.

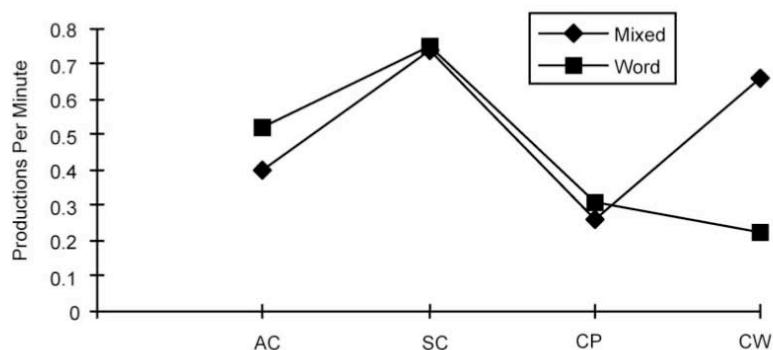
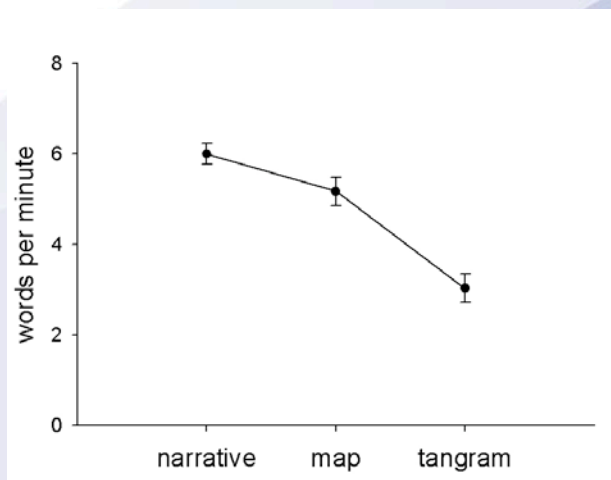


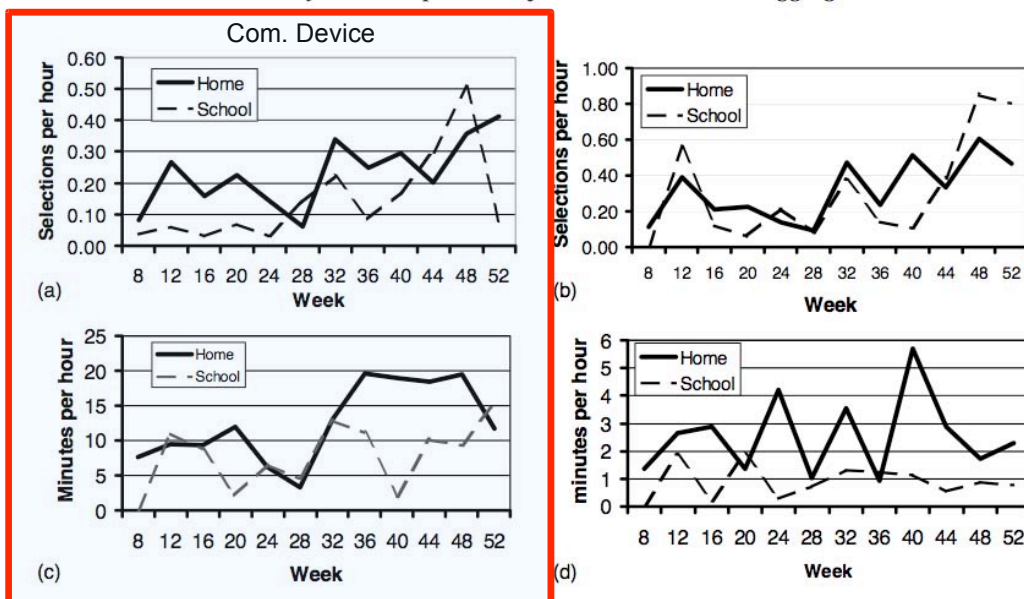
Figure 4. Mean scores of number of words per minute of the first mention of referring expressions across output methods. AC, independently produced by the augmented communicator; SC, independently produced by the speech communicator; CP, collaboratively produced phrase; CW, collaboratively produced word.

Higginbotham, Bisantz, Sumn, Adams & Yik (in press).  
The Effect of Context Priming and Task Type on  
Augmentative Communication Performance, AAC



Mean Number of Words Per Minute Across 3 Tasks

*M.S. Hawley et al. / A provision framework and data logging tool*



**Final Report, Grant #R41 HD047 038-01**  
**Automated Data Logging Tool (ADL) for AAC Practitioners**  
**PI: D. Jeffery Higginbotham, Ph.D.**

**Interface Mockup**

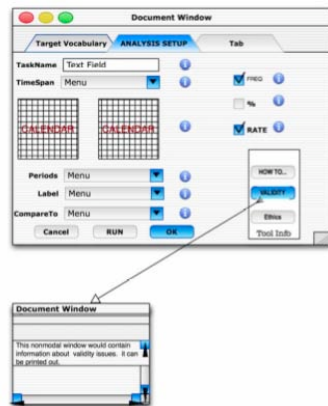


Figure 7: Analysis Setup and Validity Information Pop-Up

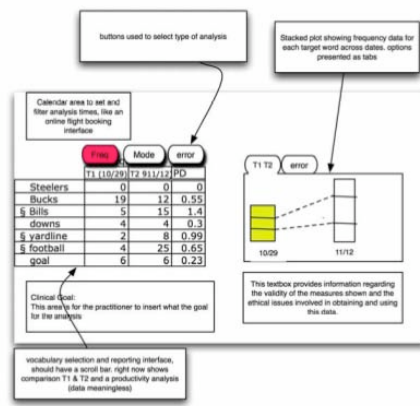


Figure 8: Sketch of Vocabulary Analysis Report Window.

## What is the State of ADL?

- What validity issues have been addressed?
- Level of studies (Oxford Hierarchy)
- Dissemination venue (conference, book, internet, *research in a peer reviewed journal*)
- Cost effectiveness, practical implementation
- Potential for harm

# State of External Evidence

## Non-Peer Reviewed

- 3 Book Chapters (descriptive/opinion)
- Conference Proceedings
  - 7 descriptive / opinion
  - 3 case study
  - 2 reviews
- 3 dissertations
- 1 Thesis

## Peer Reviewed (vetted)

- 2 descriptive /opinion
- 5 research studies
  - 2 single case, (one with AB design elements)
  - 2 RCTs use ADL to examine device and task performance issues
  - 2 simulation studies (keyboard optimization, scanning)

## Purpose of Our Study

- STTR study funded by NIDCD to determine the feasibility of an ADL tool for practitioners
  - Purposes
  - Features
  - Limitations / Caveats
  - Ethical & Privacy Issues
- Inspect measurement validity issues, focus group responses, ethical/legal analysis of existing materials

# Methodology

- Face-to-face and email interactions involving 4 focus groups:
  - Practitioner – 4 SLPs, 1 Rehab engineer, 8 – 30 yrs experience.
  - Scientists – 5 experts in child language, speech motor control, artificial intelligence, outcomes
  - Engineers – 4 software engineers involved in AAC, 1 rehab engineer.
  - Consumers – 4 life-long AAC users, 1 spouse, 1 individual with an acquired impairment

# Methodology

- Each group was asked 3 questions with summaries and followup questions provided by the Research – PI.
  - What should Automated Data Logging Do?
  - What specific features should ADL incorporate?
  - What caveats or concerns do you have?
- Examined responses for themes (NVIVO)



# What Should ADL Do?

- The ADL interface should provide a data analysis that:
  - Is meaningful to practitioners
  - Provides **valid indicators** of communication performance
  - Presents a guide for making **valid conclusions**
    - adjust therapy, device content & organization
  - Minimize misinterpretation
  - Facilitates comparisons
  - Protects consumer privacy
  - Easy to use

## Specific features for ADL Device

- Measurement
  - In depth word prediction analysis
  - Device use: when device goes to sleep and wakes up
  - User rating scales
  - Page use
  - Vocabulary use by time of day



# Specific features for ADL Device

## ■ Analysis

- Provide automatic (valid) data analysis
- All measures should have a visual component
- Compare across contexts, devices, experience
- Use data to tweak systems (engineers)
- Look at message production under different speaking conditions.
- Assess communication in daily living contexts (e.g., outside therapy settings)(practitioners)

## Caveats

- Misuse of data due to ignorance. sometimes used to prove a point rather than for knowledge (e.g., proprietary agendas)
- *Tendency to draw conclusions from the data that could have some unfortunate consequences on the user.*
- *I think that the availability of such a tool will create a bit temptation for SLPs and families to jump to conclusions about devices, and a temptation for manufacturers to misuse it, and a temptation for payers to fixate on it. (consumer)*
- Need for structured data analysis the produces results, guides decision making

# Caveats – Appropriateness of Measures

- Need to understand the social interaction
- Can't analyze multimodal communication
- Best used for narrative production
- Can't measure communicative intent
- Compatibility of existing data analysis tools

## Ethical Concerns

- Need straightforward solutions to ensure privacy
  - password, encryption, suspend logging,
  - data stays on user's machine, doesn't become part of a medical record
- Protocols for securing informed consent
- Limiting use of logfiles by 3<sup>rd</sup> parties
- Using ADL to track practitioner performance

# Privacy Concerns

- Who should have access to your data logs?
- Use of password or biometric screen
- Need for a kill switch
- Recording communication is a violation of a social contract between two people

## Should ADL Have a Role in SGD Assessment?

- Discussion about ADL not limited to SLP role as *treatment provider*. Also discussed in context of SGD assessment
  - ADL discussion should be clear: it should have NO role related to SGD assessment
  - ADL should not be a replacement for any existing SGD assessment element
  - ADL should not be an additional SGD assessment element
  - No SGD funding program cares about or should care about ADL

# ADL Is *NOT* Evidence Based Practice

- Evidence Based Practice is a methodology for decision making that is based on current and best research evidence; clinical judgement; and client input.
- ADL is a technique to use evidence of SGD use in clinical practice.
- Evidence based practice is used by a highway department to set the speed limit for a stretch of road; a driver watching his speech as he drives along that road is using evidence in practice

## Privacy Issues

- Does the Client Own the SGD?
  - If the client owns the SGD, the client can negotiate with the SLP (or other person) every aspect of access to stored data, including no access at all
  - This includes who may access the data; with whom it can be shared; the purposes for which it can be used; whether it can be copied from the device; if copied, how long it may be maintained
- Does a School or Other Party Own the SGD?
  - If another party owns the SGD, the client has less control over who, when, and for what purpose access will be made to stored data

# Proposed ADL Access Controls

- If a school or other party owns the device, a written agreement should be entered between the device use and anyone with control over access to stored data.
  - Identify the specific information that can be accessed
  - Identify the person(s) who will be authorized to review the data
  - Identify the specific purposes for which the data can be reviewed
  - Identify whether the data can be copied and stored, or must be deleted following review
  - Identify specific limitations on re-disclosure, if permitted at all

## State of ADL

Validity Type	ADL Questions	Current Status	Todo
Measurement Validity	Are ADL measurements accurate? Across different devices and software?	1 study on rate measurement	Demonstrate accuracy of proposed measures (mlu, # words, etc) across platforms and software
Internal Validity	performance? How are other nuisance variables handled? How do people make use of ADL data?	no studies	Show that ADL doesn't impact on performance, account for other nuisance variables. Build ADL software to structure interpretations (based on empirical evidence).
Construct Validity	What do the measures mean?	No theory of language produced by AAC devices. All based on child language, etc., assumptions, role of multimodality in language use. <i>Evidence limited to descriptions</i>	Correlate ADL measures with other measures of language & communication ability. Deal directly with issue of single com. mode.
External Validity	Use in what contexts? For what individuals? For what tasks?	Evidence limited to single case descriptions.	
Cost Effectiveness	Is ADL more cost &/or time effective than current techniques?	Studies limited to feasibility, no demonstration of ADL's advantage over non-automated measures.	Demonstrate how ADL is more efficient and effective than current techniques.
Implementation	How can ADL be <i>practically</i> implemented? Can valid decisions be made?	Articles discussing best practice issues.	Must demonstrate how to make it work in real-world clinical settings.
Ethical Concerns	How can ADL ethical and privacy considerations be remedied?	Issues brought up, few verified, some privacy remedies developed	Privacy issues must conform to HIPPA, legal precedence.



# Future Use of ADL

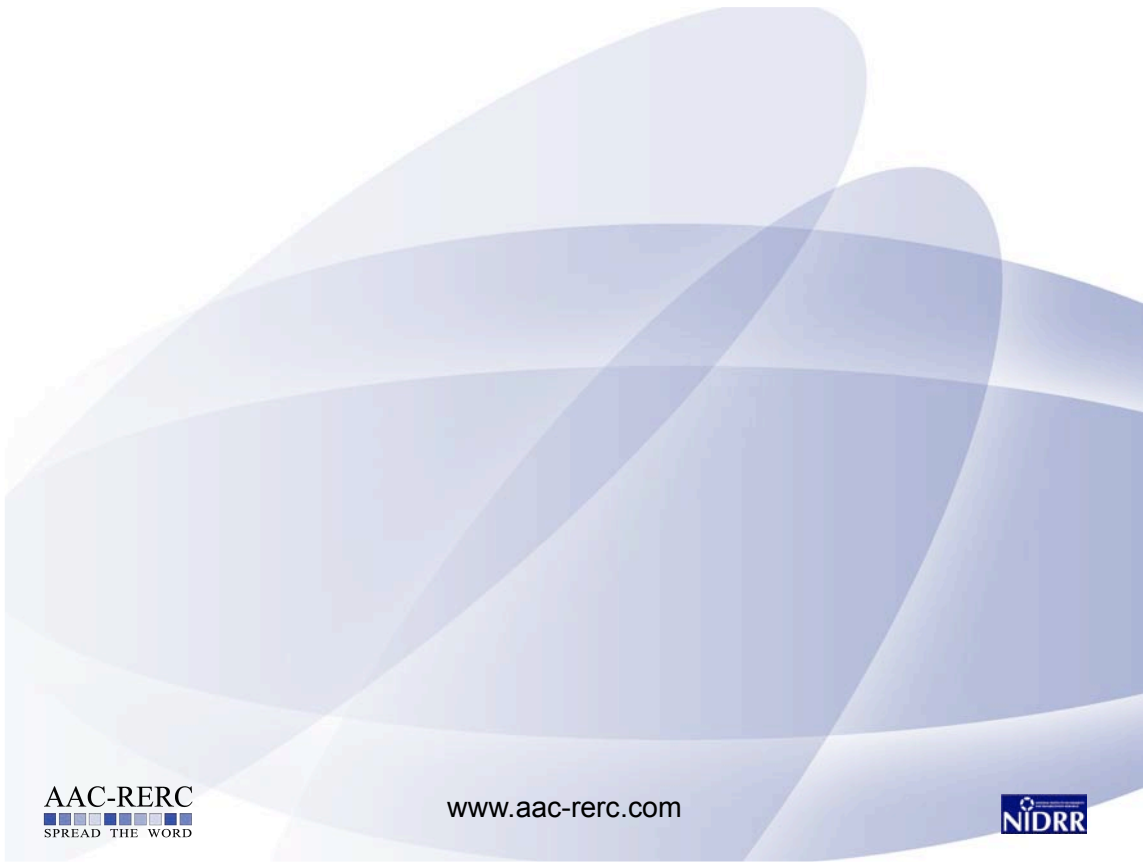
- Research Tool – must pass IRB for privacy and ethical concerns, peer review for validity concerns.
- Clinician tool – some evidence is suggestive, *BUT*, no peer reviewed studies indicating its validity, application to real-world situations, cost-effectiveness, practicality, or ethical acceptance.
- Need to successfully address these issues before it can be promoted as a valid and ethical approach.
- *Publish in Peer Reviewed Journals*

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Automated Data Logging Bibliography Categorized by Levels of Evidence and Dissemination Venue

Jeff Higginbotham, University at Buffalo

March 11, 2008

Book - Descriptive

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Conference Proceedings - Review

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Journal Peer-Reviewed Description

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